

# 2110711

# THEORY

of

# COMPUTATION

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ELITE

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## DESCRIPTION

Computable functions  
decidable predicates and  
solvable problems;  
computational complexity;  
NP-complete problems;  
automata theory;  
formal language;  
lambda calculus.

## EVALUATION

Mid-Term examination	50 %
Final examination	50 %

# TEXTBOOK

Essentials of  
Theoretical Computer Science

F. D. Lewis

# REFERENCES

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- Introduction to Languages and Theory of Computation(3<sup>rd</sup> ed.) John C. Martin
- Introduction to Automata Theory, Languages, and Computation, J.E. Hopcroft, R. Motwani, J.D. Ullman
- Introduction to Computer Theory (2<sup>nd</sup> ed.) Daniel I. A. Cohen
- Languages and Machines: An Introduction to the Theory of Computer Science (2<sup>nd</sup> ed.) Thomas A. Sudkamp
- Topology (2<sup>nd</sup> ed.) James R. Munkres
- Discrete Mathematics and Its Applications (4<sup>th</sup> ed.)

# BACKGROUND

**Aristotle (384-322 B.C.)**

SYLLOGISTIC REASONING

**Euclid of Alexandria (325-265 B.C.)**

DEDUCTIVE REASONING

**Chrysippus of Soli (279-206 B.C.)**

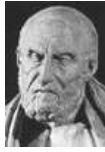
MODAL LOGIC

**George Boole (1815-1864 A.D.)**

PROPOSITIONAL LOGIC

**Augustus De Morgan (1806-1871 A.D.)**

DE MORGAN'S LAWS



## Charles Babbage

1791-1871

- Created the first difference engine (producing the members of the sequence  $n^2 + n + 41$  at the rate of about 60 every 5 minutes)
- The 1<sup>st</sup> drawings of the analytical engine (describes five logical components, the store, the mill, the control, the input and the output)



The construction of modern computers, logically similar to Babbage's design

# Kurt Gödel

1906-1978



Proved that there was no algorithm to provide proofs for all the true statements in mathematics.

Universal model for all algorithms.

## **VARIOUS VERSIONS**

### **OF A UNIVERSAL ALGORITHM MACHINE**

- Andrei Andreevich Markov      1856-1922
- Emil Post      1897-1954
- Alonzo Church      1903-1995
- Stephen Kleene      1909-1994
- John von Neumann      1903-1957
- Alain Turing      1912-1954

# Alain Turing

1912-1954

- studied problems which today lie at the heart of artificial intelligence.

- proposed the Turing Test which is still today the test people apply in attempting to answer whether a computer can be intelligent.



Computing machinery and intelligence

## Warren McCulloch & Walter Pitts

neurophysiologists

Constructed for a "neural net" was a theoretical machine of the same nature as the one Turing invented.

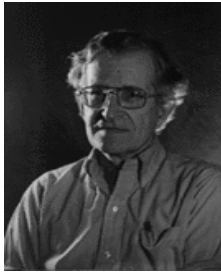
### Modern linguists

Investigated a very similar subject

- What is language in general ?
- How could primitive humans have developed language ?
  - How do people understand it ?
  - How do they learn it as children ?
- What ideas can be expressed, and in what way ?
- How do people construct sentences from the ideas in their minds ?

# Noam

Chomsky

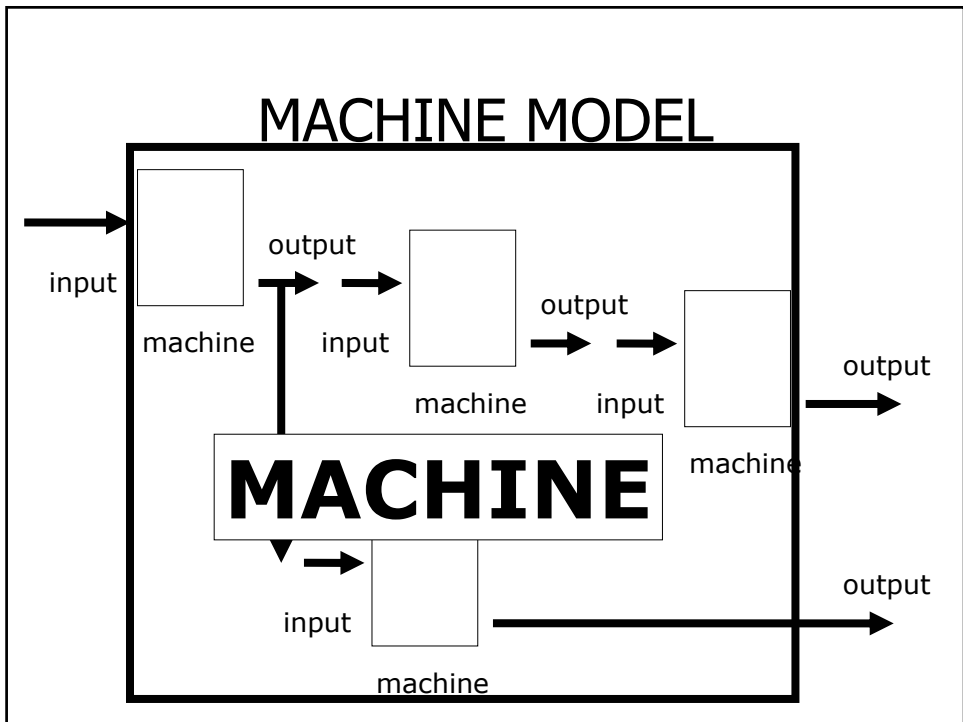


Massachusetts  
**Institute**  
of  
Technology

Created the subject of  
mathematical models for  
the description of  
languages to answer  
these questions.

## **MAIN TOPIC**

We shall study different types  
of theoretical machines  
that are mathematical models  
for actual physical processes.



# ?

## MAIN CONCLUSIONS

" this can be done or it can never be done."



# 1

LANGUAGES

## LANGUAGES

Different entities (in English)

- letters
- words
- sentences
- paragraphs
- coherent stories

COLLECTION  
&  
SEQUENTIAL

Not all collections of letters form a valid sentence.

Humans agree on which sequences are valid or which are not.

How do they do that ?

# COMPUTER LANGUAGES

Different entities

- letters
- words
- commands
- programs
- systems

It is very hard to state all the rules for the language “spoken English”.

Commands can be recognized by certain sequences of words. Language structure is based on explicitly rules.

# LANGUAGE

Language means simply a set of strings involving symbols from alphabet.

# THEORY OF FORMAL LANGUAGES

Formal refers

- explicitly rules
  - What sequences of symbols can occur ?
  - No liberties are tolerated.
  - No reference to any "deeper understanding" is required.
- the form of the sequences of symbols
- not the meaning

# THEORY OF FORMAL LANGUAGES

## STRUCTURE

- One finite set of fundamental units , called "alphabet", denoted  $\Sigma$ .
- An element of alphabet is called "character".
- A certain **specified** set of strings of characters will be called a "language" denoted  $L$ .
- Those strings that are permissible in the language we call "words".
- The string without letter is called "empty string" or "null string", denoted by  $\Lambda$ .
- The language that has no word is denoted by  $\emptyset$ .

# SYMBOLS

Union operation	+
Different operation	-
Alphabet	$\Sigma$
Empty string	$\Lambda$
	$\epsilon$
Language	L
	$\Gamma$
Empty language	$\emptyset$

# LANGUAGES

## LANGUAGE DEFINING

IMPLICITLY  
DEFINING

Given an alphabet  $\Sigma = \{ a b c \dots z ' - \}$ .

We can now specify a language L as

{ all words in a standard dictionary },

named "ENGLISH-WORDS".

We define a language  $\Gamma$  as

{ all words in a standard dictionary, blank space,  
the usually punctuation marks },

named "ENGLISH-SENTENCES".

# LANGUAGES

## INFINITE LANGUAGE DEFINING

The trick of defining the language  $\Gamma$ ,

By listing all rules of grammar.

This allows us to give a finite description of an infinite language.

Consider this sentence "I eat three Sundays".

**RIDICULOUS  
LANGUAGE**

This is grammatically correct.

# LANGUAGES

## LANGUAGE DEFINING

### METHOD OF EXHAUSTION

Let  $\Sigma = \{x\}$  be an alphabet.

Language L can be defined by

$$L = \{x \ xx \ xxx \ xxxx \ \dots\}$$

$$L = \{x^n \text{ for } n = 1 \ 2 \ 3 \ \dots\}.$$

Language

$$L_2 = \{x \ xxx \ xxxxx \ xxxxxxx \ \dots\}$$

$$L_2 = \{x^{\text{odd}}\}$$

$$L_2 = \{x^{2n-1} \text{ for } n = 1 \ 2 \ 3 \ \dots\}.$$

# LANGUAGES

## SOME DEFINITIONS

We define the function length of a string to be the number of letters in the string.

For example, if a word  $a = \text{xxxx}$  in  $L$ , then  $\text{length}(a)=4$ .

In any language that includes  $\Lambda$ , we have  $\text{length}(\Lambda)=0$ .

The function reverse is defined by if  $a$  is a word in  $L$ , then  $\text{reverse}(a)$  is the same string of letters spelled backward, called the reverse of  $a$ .

For example,  $\text{reverse}(123)=321$ .

Remark: The reverse( $a$ ) is not necessary in the language of  $a$ .

# LANGUAGES

## SOME DEFINITIONS

We define the function  $n_a(w)$  of a  $w$  to be the number of letter  $a$  in the string  $w$ .

For example, if a word  $w = \text{aabbac}$  in  $L$ , then  $n_a(w)=3$ .

Concatenation of two strings means that two strings are written down side by side.

For example,  $x^n$  concatenated with  $x^m$  is  $x^{n+m}$

# LANGUAGES

## SOME DEFINITIONS

Language is called PALINDROME over the alphabet if

Language = {  $\Lambda$  and all strings  $x$  such that  $\text{reverse}(x)=x$  }.

For example, let  $\Sigma=\{ a, b \}$ , and

PALINDROME={  $\Lambda$  a b aa bb aaa aba bab bbb ... }.

Remark: Sometimes, we obtain another word in PALINDROME when we concatenate two words in PALINDROME. We shall see the interesting properties of this language later.

# LANGUAGES

## SOME DEFINITIONS

Consider the language

PALINDROME={  $\Lambda$  a b aa bb aaa aba bab bbb ... }.

We usually put words in size order and then listed all the words of the same length alphabetically. This order is called lexicographic order.

# LANGUAGES

## KLEENE CLOSURE

Given an alphabet  $\Sigma$ , the language that any string of characters in  $\Sigma$  are in this language is called the closure of the alphabet. It is denoted by

$$\Sigma^*.$$

This notation is sometimes known as the Kleene star.

Kleene star can be considered as an operation that makes an infinite language. When we say "infinite language", we mean infinitely many words, each of finite length.

# LANGUAGES

## KLEENE CLOSURE

More general,

if  $S$  is a set of words, then by  $S^*$  we mean the set of all finite strings formed by concatenating words from  $S$  and from  $S^*$ .

Example:

If  $S = \{ a ab \}$  then

$S^* = \{ \Lambda \text{ and any word composed of factors of } a \text{ and } ab \}$ .

$\{ \Lambda \text{ and all strings of } a \text{ and } b \text{ except strings with double } b \}$ .

$\{ \Lambda a aa ab aaa aab aba aaaa aaab aaba \dots \}$ .



# LANGUAGES

## KLEENE CLOSURE

Example:

If  $S = \{ a ab \}$  then

$S^* = \{ \Lambda \text{ and any word composed of factors of } a \text{ and } ab \}$ .

$\{ \Lambda \text{ and all strings of } a \text{ and } b \text{ except strings with double } b \}$ .

$\{ \Lambda a aa ab aaa aab aba aaaa aaab aaba \dots \}$ .

To prove that a certain word is in the closure language  $S^*$ , we must show how it can be written as a concatenation of words from the base set  $S$ .

Example: abaaba can be factored as  $(ab)(a)(ab)(a)$  and it is unique.

# LANGUAGES

## KLEENE CLOSURE

Example:

If  $S = \{ xx \text{ xxxxx} \}$  then

$S^* = \{ \Lambda xx \text{ xxxx xxxxx xxxxxxx xxxxxxxx xxxxxxxxx} \dots \}$ .

$\{ \Lambda \text{ and } xx \text{ and } x^n \text{ for } n = 4 \ 5 \ 6 \ 7 \ \dots \}$ .

**How can we prove this statement ?**

Hence: proof by constructive algorithm  
(showing how to create it).

# LANGUAGES

## KLEENE CLOSURE

Example:

If  $S = \{ a b a b \}$  and  $T = \{ a b b a \}$ , then  $S^* = T^* = \{ a b \}^*$ .

Proof: It is clear that  $\{ a b \}^* \subset S^*$  and  $\{ a b \}^* \subset T^*$ .

We have to show that  $S^*$  and  $T^* \subset \{ a b \}^*$ .

For  $x \in S^*$ , in the case that  $x$  is composed of  $ab$ .

Replace  $ab$  in  $x$  by  $a, b$  which are in  $\{ a b \}^*$ .

Then  $S^* \subset \{ a b \}^*$ .

The proof of  $T^* \subset \{ a b \}^*$  is similarity.

QED

# LANGUAGES

## POSITIVE CLOSURE

Given an alphabet  $\Sigma$ , the language that any string (not zero) of characters in  $\Sigma$  are in this language is called the positive closure of the alphabet. It is denoted by

$$\Sigma^+.$$

Example: Let  $\Gamma = \{ ab \}$ .

Then  $\Gamma^+ = \{ ab \ abab \ ababab \ \dots \}$ .

# LANGUAGES

## TRIVIAL REMARK

Given an alphabet  $\Sigma = \{aa\ bbb\}$ . Then  $\Sigma^*$  is the set of all strings where a's occur in even clumps and b's in groups of 3, 6, 9.... Some words in  $\Sigma^*$  are

bbb aabbbaaaa bbbbaa

If we concatenate these three elements of  $\Sigma^*$ , we get one big word in  $\Sigma^{**}$ , which is again in  $\Sigma^*$ .

bbbaabbbaaaaabbbaa = (bbb)(aa)(bbb)(aa)(aa)(bbb)(aa)

Note :  $\Sigma^{**}$  means  $(\Sigma^*)^*$ .

# LANGUAGES

## THEOREM

Theorem

For any set S of strings, we have  $S^* = S^{**}$ .

Proof: Every words in  $S^{**}$  is made up of factors from  $S^*$ .

Every words in  $S^*$  is made up of factors from S.

Therefore every words in  $S^{**}$  is made up of factors from S.

We can write this  $S^{**} \subset S^*$ .

In general, it is true that  $S \subset S^*$ . So  $S^* \subset S^{**}$ .

Then  $S^* = S^{**}$ .

QED



## RECURSIVE DEFINITIONS LANGUAGE DEFINING

EVEN language

EVEN is the set of all positive whole numbers divisible by 2.

EVEN is the set of all  $2n$  where  $n = 1\ 2\ 3\ 4\ \dots$

Another way we might try this:

The set is defined by these three rules:

Rule1: 2 is in EVEN.

Rule2: if  $x$  is in EVEN, then so is  $x+2$ .

Rule3: The only elements in the set EVEN are those that can be produced from the two rules above.

The last rule above is completely redundant.

# RECURSIVE DEFINITIONS

## LANGUAGE DEFINING

EVEN language

The set is defined by these three rules:

Rule1: 2 is in EVEN.

Rule2: if  $x$  is in EVEN, then so is  $x+2$ .

Rule3: The only elements in the set EVEN are those that can be produced from the two rules above.

PROBLEM: Show that 10 is in this language.

By Rule1, 2 is in EVEN.

By Rule2,  $2+2=4$  is in EVEN.

By Rule2,  $4+2=6$  is in EVEN.

By Rule2,  $6+2=8$  is in EVEN.

By Rule2,  $8+2=10$  is in EVEN.

PRETTY HORRIBLE !

# RECURSIVE DEFINITIONS

## LANGUAGE DEFINING

EVEN language

The set is defined by these three rules:

Rule1: 2 is in EVEN.

Rule2: if  $x,y$  are in EVEN, then so is  $x+y$ .

Rule3: The only elements in the set EVEN are those that can be produced from the two rules above.

PROBLEM: Show that 10 is in this language.

By Rule1, 2 is in EVEN.

By Rule2,  $2+2=4$  is in EVEN.

By Rule2,  $4+4=8$  is in EVEN.

By Rule2,  $8+2=10$  is in EVEN.

DECIDEDLY HARD

# RECURSIVE DEFINITIONS

## LANGUAGE DEFINING

### POSITIVE language

The set is defined by these three rules:

Rule1: 1 is in POSITIVE.

Rule2: if  $x, y$  are in POSITIVE, then so is  $x+y$ ,  $x-y$ ,  $x \times y$  and  $x/y$  where  $y$  is not zero.

Rule3: The only elements in the set POSITIVE are those that can be produced from the two rules above.

PROBLEM: What is POSITIVE language ?

# RECURSIVE DEFINITIONS

## LANGUAGE DEFINING

### POLYNOMIAL language

The set is defined by these four rules:

Rule1: Any number is in POLYNOMIAL.

Rule2: Any variable  $x$  is in POLYNOMIAL.

Rule3: if  $x, y$  are in POLYNOMIAL, then so is  $x+y$ ,  $x-y$ ,  $x \times y$  and  $(x)$ .

Rule4: The only elements in the set POLYNOMIAL are those that can be produced from the three rules above.

PROBLEM: Show that  $3x^2+2x-5$  is in POLYNOMIAL.

Proof:

Rule1: 2, 3, 5 are in POLYNOMIAL, Rule2:  $x$  is in POLYNOMIAL,

Rule3:  $3x$ ,  $2x$  are in POLYNOMIAL, Rule3:  $3xx$  is in POLYNOMIAL,

Rule3:  $3xxx+2x$ ,  $3x^2+2x-5$  are in POLYNOMIAL. QED.

# RECURSIVE DEFINITIONS

## ARITHMETIC EXPRESSIONS

Language:

Let  $\Sigma$  be an alphabet for AE language.

$\Sigma = \{ 0 1 2 3 4 5 6 7 8 9 + - * / ( ) \}$ .

Define rules for this language.

Problems:

- Show that the language does not contain substring  $//$ .
- Show that  $((3+4)-(2*6))/5$  is in this language.

## REMARK

### DEFINING LANGUAGES

Languages can be defined by

- $L_1 = \{ x^n \text{ for } n = 1 2 3 \dots \}$
- $L_2 = \{ x^n \text{ for } n = 1 3 5 7 \dots \}$
- $L_3 = \{ x^n \text{ for } n = 1 4 9 16 \dots \}$
- $L_4 = \{ x^n \text{ for } n = 3 4 8 22 \dots \}$ .

More precision and less guesswork are required.