

Design and Analysis of Algorithms

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2542

คำเตือน

เนื้อหาอันรวมถึงข้อความ ตัวเลข สัญลักษณ์ รูปภาพ และ
คำบรรยาย อาจมีข้อผิดพลาดแฝงอยู่ ผู้จัดทำจะไม่รับผิดชอบ
ต่อความเสียหายทั้งทางด้านผลการเรียน สุขภาพกาย
และสุขภาพจิตใดๆ อันเนื่องมาจากการใช้สื่อการเรียนนี้

Algorithm Design Techniques

Divide-and-Conquer

Outline

- General idea
- Examples
 - Mergesort, Quicksort
 - Selection
 - Exponentiation
 - Matrix Multiplication
 - Closest point
- Conclusion

General Idea

- Divide problem instance into subinstances of the same kind
- For each subinstance (conquer)
 - solve it directly if it is trivial
 - solve it recursively otherwise
- Combine subinstance solutions to give the solution for the bigger problem instance

General Idea

```

D&Q( P )
{
    if ( P is trivial ) return Solve( P )

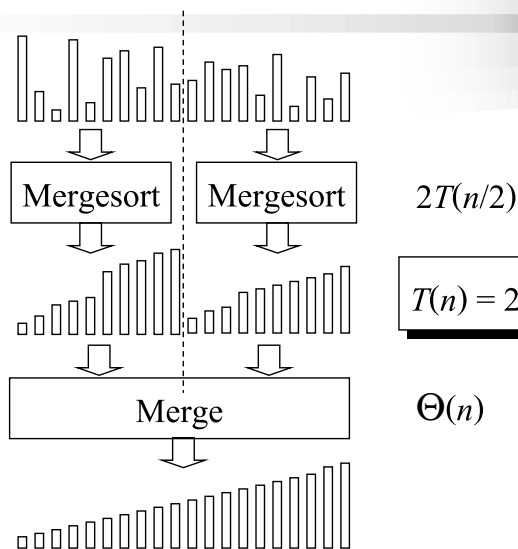
    Divide P into P1, P2, ..., Pk

    for ( i = 1 to k )
        Si = D&Q( Pi )

    S = Combine( S1, S2, ..., Sk )

    return S
}
    
```

Mergesort



$2T(n/2)$

$$T(n) = 2T(n/2) + \Theta(n)$$

$\Theta(n)$

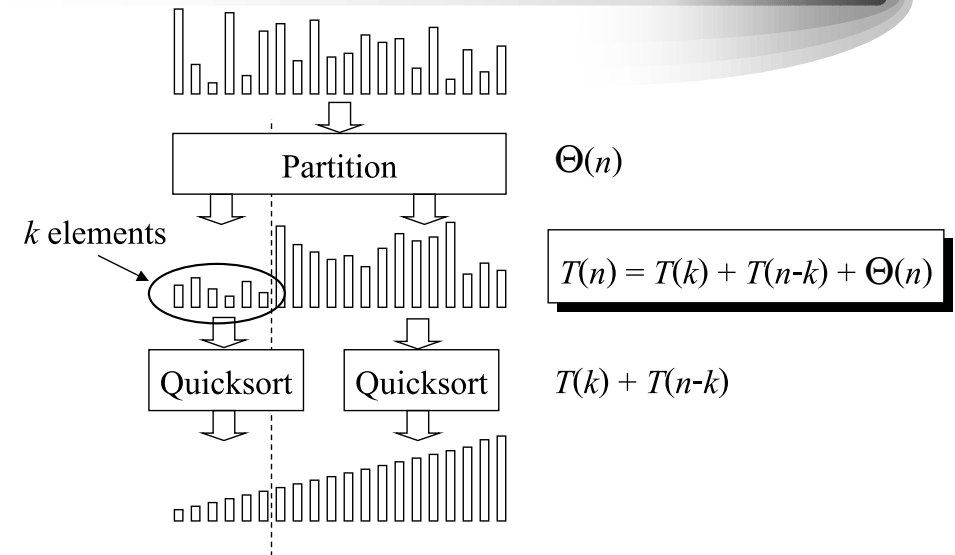
Mergesort : Analysis

- $T(n) = 2T(n/2) + \Theta(n)$
- Master method : $a = 2, b = 2, f(n) = \Theta(n)$
- $c = \log_b a = \log_2 2 = 1$
- $n^c = n^1, f(n) = \Theta(n^c) = \Theta(n)$
- $T(n) = \Theta(n^c \log n) = \Theta(n \log n)$

Mergesort : Quiz

- What if we do not divide the array in half?
 - 40-60
 - 20-80
 - 10-90
 - 1-99
 - random

Quicksort



Quicksort : Worst-Case Analysis

- $T(n) = T(k) + T(n-k) + \Theta(n)$
- Worst-case when $k = 1$ in every step

$$\begin{aligned} T(n) &= T(1) + T(n-1) + \Theta(n) \\ &= T(n-1) + \Theta(n) \\ &= \sum_{i=1}^n \Theta(i) \\ &= \Theta\left(\sum_{i=1}^n i\right) \\ &= \Theta(n^2) \end{aligned}$$

Quicksort : Best-Case Analysis

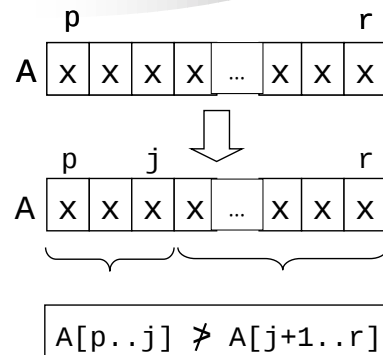
- $T(n) = T(k) + T(n-k) + \Theta(n)$
- Base-case when $k = n/2$ in every step

$$\begin{aligned} T(n) &= T(n/2) + T(n/2) + \Theta(n) \\ &= 2T(n/2) + \Theta(n) \\ &= \Theta(n \log n) \end{aligned}$$

Quicksort : Partition

```
Partition( A, p, r )
{
    ...

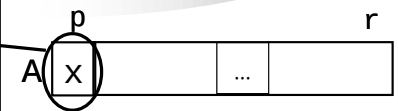
    return j
}
```



Quicksort : Partition

```
Partition( A, p, r )
{
    c = A[p]
    ...

    return j
}
```



Quicksort : Partition

```
Partition( A, p, r )
{
    c = A[p]
    i = p-1; j = r+1
    ...

    return j
}
```



Quicksort : Partition

```
Partition( A, p, r )
{
    c = A[p]
    i = p-1; j = r+1
    while ( i < j ) {
        ...
    }
    return j
}
```



Quicksort : Partition

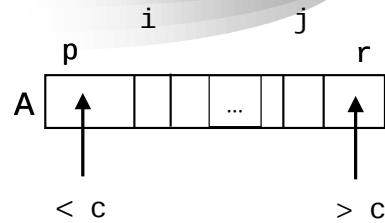
```

Partition( A, p, r )
{
  c = A[p]
  i = p-1;  j = r+1
  while ( i < j ) {
    repeat j = j-1
      until( A[j] ≤ c )

    repeat i = i+1
      until( A[i] ≥ c )

    if i < j
      exchange A[i], A[j]
  }
  return j
}

```



Quicksort : Partition

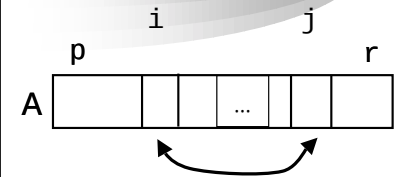
```

Partition( A, p, r )
{
  c = A[p]
  i = p-1;  j = r+1
  while ( i < j ) {
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    repeat i = i+1
      until( A[i] ≥ c )

    if i < j
      exchange A[i], A[j]
  }
  return j
}

```



Quicksort : Partition

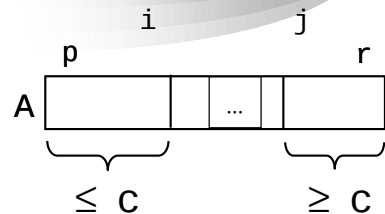
```

Partition( A, p, r )
{
  c = A[p]
  i = p-1;  j = r+1
  while ( i < j ) {
    repeat j = j-1
      until( A[j] ≤ c )

    repeat i = i+1
      until( A[i] ≥ c )

    if i < j
      exchange A[i], A[j]
  }
  return j
}

```



Quicksort : Partition

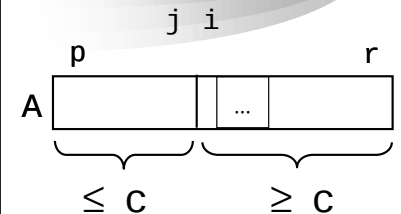
```

Partition( A, p, r )
{
  c = A[p]
  i = p-1;  j = r+1
  while ( i < j ) {
    repeat j = j-1
      until( A[j] ≤ c )

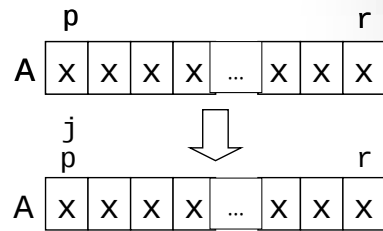
    repeat i = i+1
      until( A[i] ≥ c )

    if i < j
      exchange A[i], A[j]
  }
  return j
}

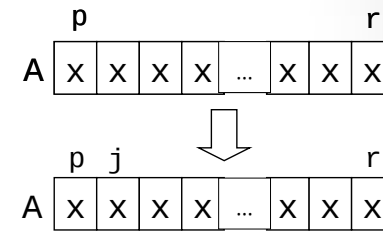
```



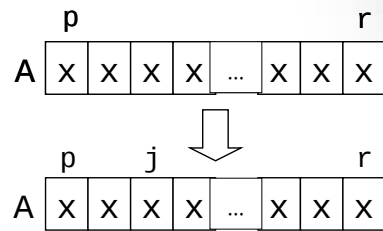
Quicksort : Partition



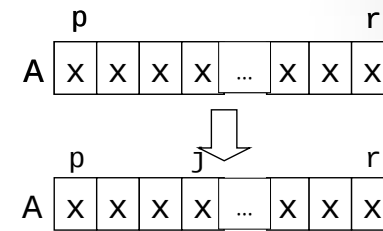
Quicksort : Partition



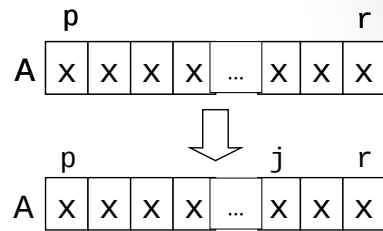
Quicksort : Partition



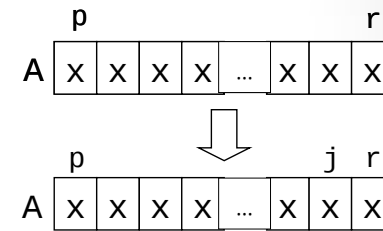
Quicksort : Partition



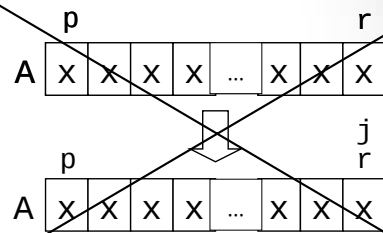
Quicksort : Partition



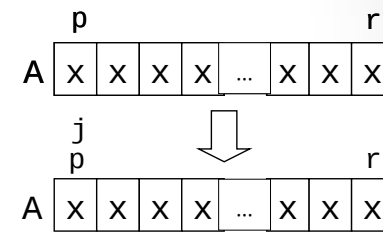
Quicksort : Partition



Quicksort : Partition



Quicksort : Partition



Randomized Partition

```

Randomized-Partition( A, p, r )
{
    i = random( p, r )
    exchange A[p], A[i]
    partition( A, p, r )
}
    
```

Randomized Quicksort : Analysis

- $T(n) = T(k) + T(n-k) + \Theta(n)$

$$\begin{aligned}
 T(n) &= \frac{1}{n} \left(T(1) + T(n-1) + \sum_{j=1}^{n-1} (T(j) + T(n-j)) \right) + \Theta(n) \\
 &= \frac{1}{n} \sum_{j=1}^{n-1} (T(j) + T(n-j)) + \Theta(n) \\
 &= \frac{1}{n} \left(\sum_{j=1}^{n-1} T(j) + \sum_{j=1}^{n-1} T(n-j) \right) + \Theta(n) \\
 &= \frac{2}{n} \sum_{j=1}^{n-1} T(j) + \Theta(n)
 \end{aligned}$$

Randomized Quicksort : Analysis

$$\begin{aligned}
 T(n) &= \frac{2}{n} \sum_{j=1}^{n-1} T(j) + \Theta(n) \\
 T(n) &= \frac{2}{n} \sum_{j=1}^{n-1} T(j) + cn \\
 nT(n) &= 2 \sum_{j=1}^{n-1} T(j) + cn^2 \\
 (n-1)T(n-1) &= 2 \sum_{j=1}^{n-2} T(j) + c(n-1)^2 \\
 nT(n) - (n-1)T(n-1) &= 2T(n-1) + c'n \\
 nT(n) &= (n+1)T(n-1) + c'n
 \end{aligned}$$

Randomized Quicksort : Analysis

$$\begin{aligned}
 nT(n) &= (n+1)T(n-1) + c'n \\
 \frac{T(n)}{n+1} &= \frac{T(n-1)}{n} + \frac{c'}{n+1} \\
 \frac{T(n)}{n+1} &= \frac{T(1)}{2} + \sum_{i=2}^n \frac{c'}{i+1} \\
 \frac{T(n)}{n+1} &= O(\log n) \\
 T(n) &= O(n \log n)
 \end{aligned}$$

Diagram illustrating the telescoping sum technique:

- A circle containing $\times \frac{1}{n(n+1)}$ is connected to the term $\frac{c'}{n+1}$ in the second equation.
- A box labeled "telescope" is connected to the sum $\sum_{i=2}^n \frac{c'}{i+1}$ in the third equation.
- An arrow points from the sum $\sum_{i=2}^n \frac{1}{i} = O(\log n)$ in the fourth equation to the final result $T(n) = O(n \log n)$.

Quicksort

- Quicksort
 - With random input data, quicksort runs in expected $O(n \log n)$ time
- Randomized Quicksort
 - With high probability, randomized quicksort runs in $O(n \log n)$ time

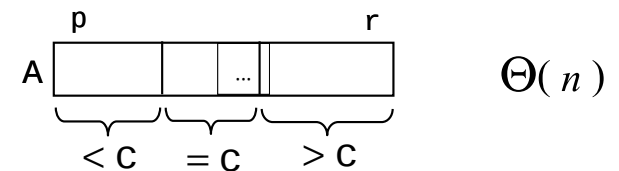
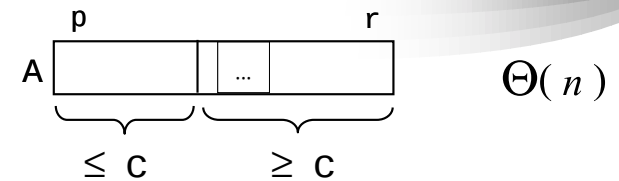
Randomization

- A general tool to improve algorithms with bad worst-case but good average-case performance
- “Robin Hood Effect”
- The worst case is still there but we almost certainly won’t see it
- Stay tuned.....

Quicksort : Quiz #1

- If all the elements are the same,
 - how does Partition divide the array ?
 - what is the running time of Quicksort ?

Quicksort : Quiz #2



Selection

- Input : A set A of n (distinct) numbers and a number i , with $1 \leq i \leq n$
- Output : The element x in A that is larger than exactly $i-1$ other elements of A

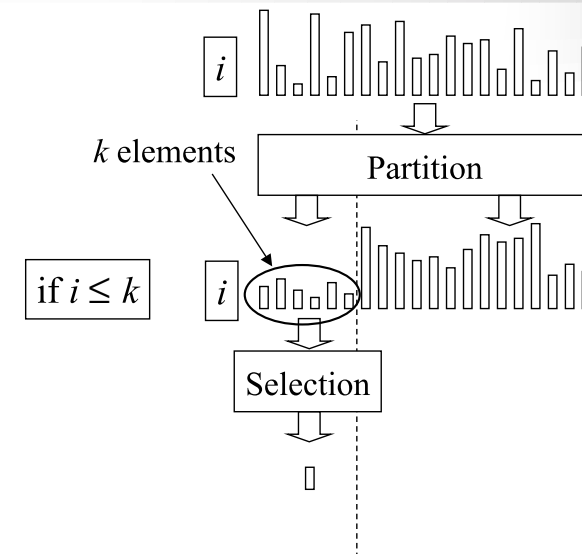
Selection Algorithms

- ❶ Sort the A and then pick the i^{th} element
 $O(n \log n)$
- ❷ Build a (max) heap from the n elements of A
Extract-Max i times
 $O(n + i \log n) = O(n \log n)$

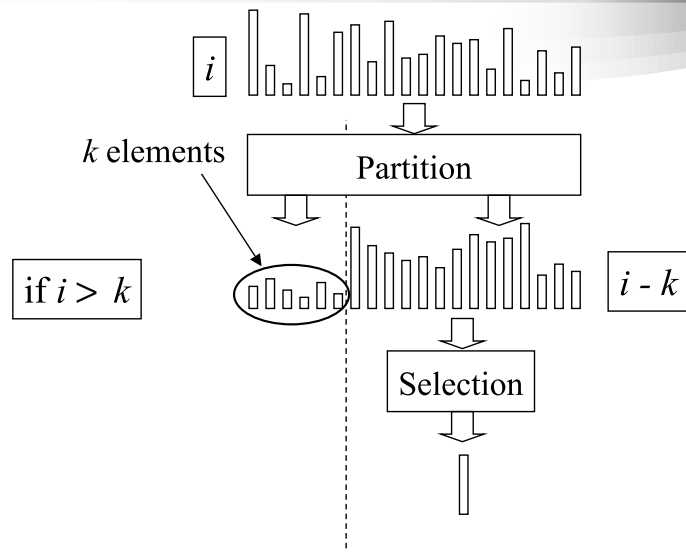
Selection

- Minimum : select the 1st smallest element : $\Theta(n)$
- Maximum : select the n^{th} smallest element : $\Theta(n)$
- Select the i^{th} smallest element : $\Theta(n)$?

Selection in Expected Linear Time



Selection in Expected Linear Time



Selection in Expected Linear Time

```

Randomized-Selection( A, p, r, i )
{
    if p = r then return A[p]
    j = Randomized-Partition( A, p, r )
    k = j - p + 1
    if i < k
        return Randomized-Selection( A, p, j, i )
    else
        return Randomized-Selection( A, j+1, r, i-k )
}
    
```

Randomized Selection : Analysis

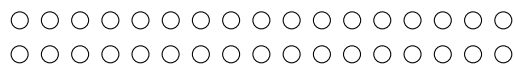
$$T(n) \leq T(\max(k, n-k)) + \Theta(n)$$

$$\begin{aligned}
 T(n) &\leq \frac{1}{n} \left(T(\max(1, n-1)) + \sum_{k=1}^{n-1} (T(\max(k, n-k))) \right) + \Theta(n) \\
 &\leq \frac{1}{n} \left(T(n-1) + 2 \sum_{k=\lceil n/2 \rceil}^{n-1} T(k) \right) + \Theta(n) \\
 &= \frac{2}{n} \sum_{k=\lceil n/2 \rceil}^{n-1} T(k) + \Theta(n) \\
 &= O(n) \quad [\text{CLR p.188-189}]
 \end{aligned}$$

Selection in Worst-Case Linear Time

- Choose Partition that guarantees a good split
- Median-of-median-of-five partitioning
 - guarantee 30%-70% split in worst case
 - selection achieves a linear time in worst case

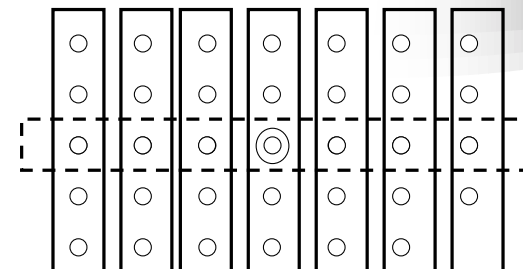
Median-of-Median-of-Five



$$n = 34$$

divide into $n/5$ groups of 5 elements

Median-of-Median-of-Five



$$n = 34$$

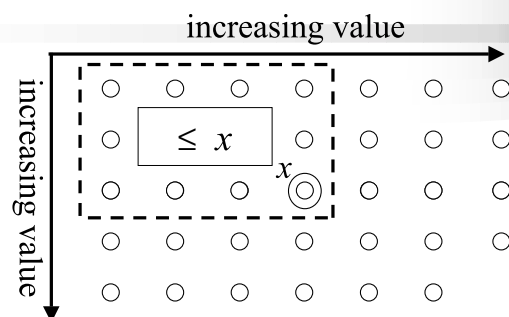
$$T_{n/5} + \Theta(n)$$

divide into $n/5$ groups of 5 elements $\Theta(n)$

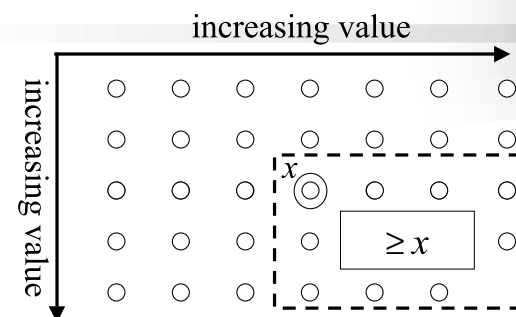
Find the median of each of the $n/5$ groups $\Theta(n)$

Find the median of the $n/5$ medians just found $T_{n/5}$

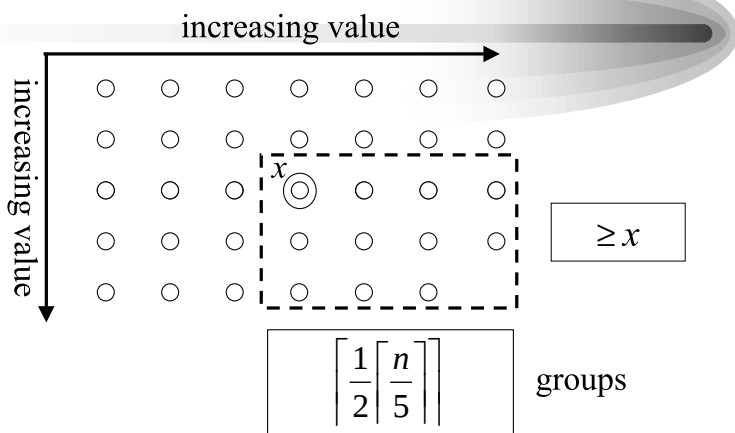
Median-of-Median-of-Five



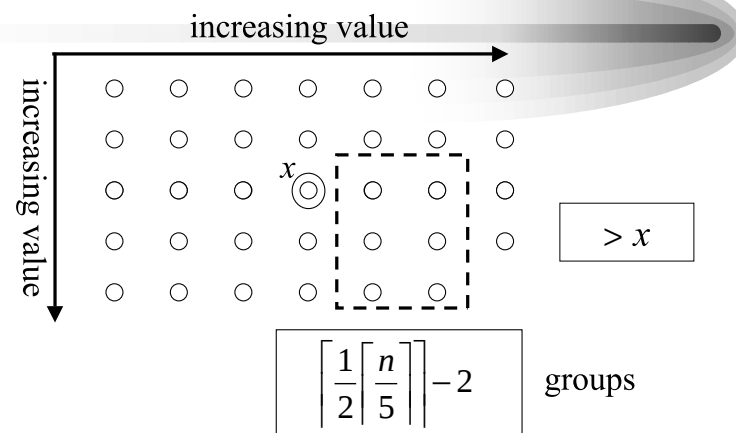
Median-of-Median-of-Five



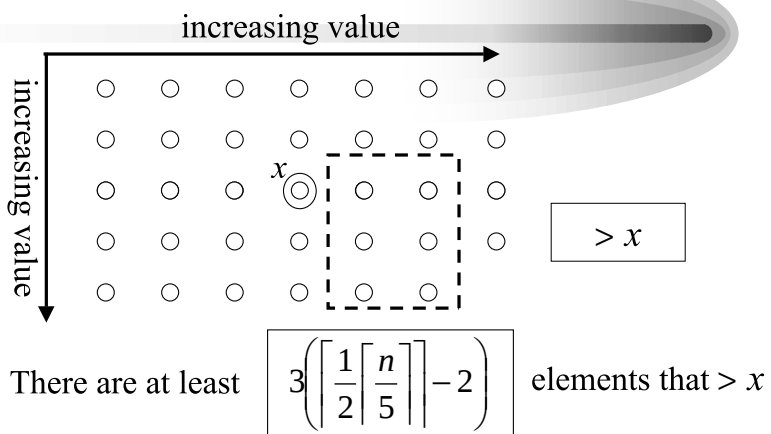
Median-of-Median-of-Five



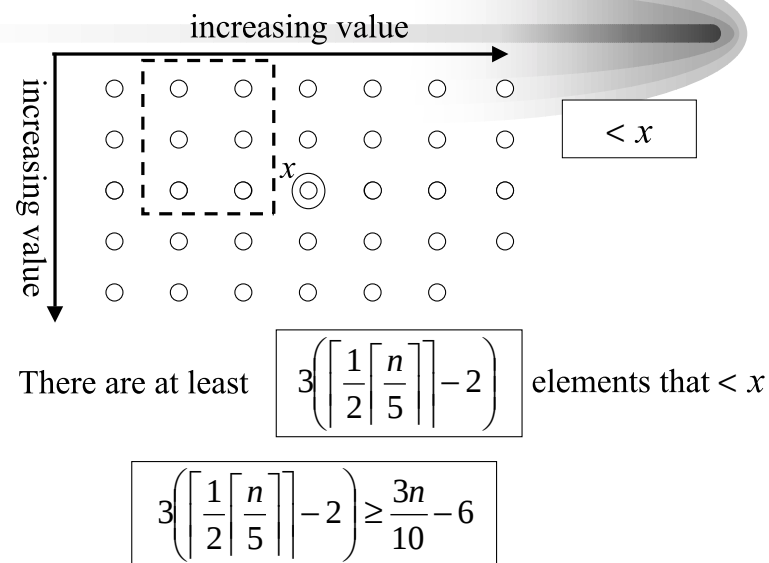
Median-of-Median-of-Five



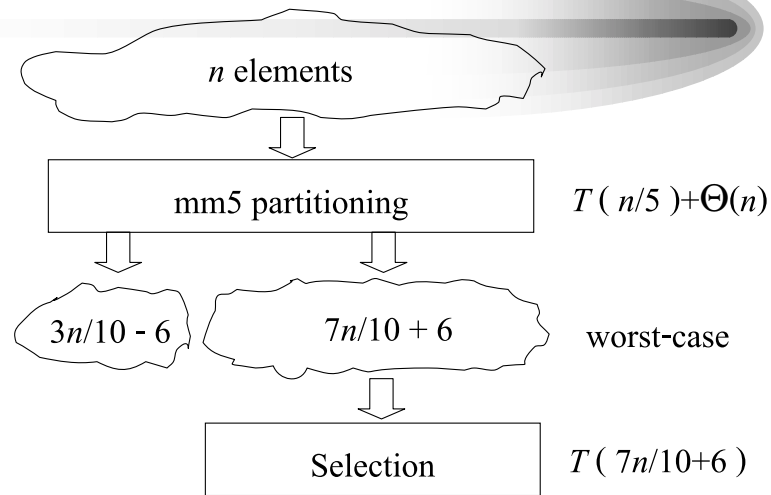
Median-of-Median-of-Five



Median-of-Median-of-Five



Selection using MM5 Partitioning



$$T(n) = T(7n/10 + 6) + T(n/5) + \Theta(n)$$

Selection using MM5 Partitioning

- $T(n) = T(7n/10 + 6) + T(n/5) + \Theta(n)$
- $7n/10 + 6 + n/5 < n$ when $n > 60$
- $T(n) = \Theta(n)$

Selection : Quiz

- Can we use mm7 partitioning ?
- Can we use mm9 partitioning ?
- Can we use mm3 partitioning ?
- Should we use mm5 partitioning in Quicksort ?

Exponentiation

- Input : Three integers a , n , and k $n, k > 0$
- Output : $x = a^n \bmod k$

Very Slow Version

$$a^n \bmod k = (a \cdot (a^{n-1} \bmod k)) \bmod k$$

```
ExpoSlow( a, n, k )
{
  x = a mod k
  for ( i = 1 to n-1 )
    x = (x * a) mod k
  return x
}
```

$$\Theta(n)$$

$$\Theta(2^m), m \text{ is \#bits representing the value } n$$

Imagine if a , n , and k are 200-digit long

Divide and Conquer : $2^{92} \bmod 10$

- $2^{92} = 2^{46} \times 2^{46} = 4 \times 4 \bmod 10 = 6$
- $2^{46} = 2^{23} \times 2^{23} = 8 \times 8 \bmod 10 = 4$
- $2^{23} = 2^{11} \times 2^{11} \times 2 = 8 \times 8 \times 2 \bmod 10 = 8$
- $2^{11} = 2^5 \times 2^5 \times 2 = 2 \times 2 \times 2 \bmod 10 = 8$
- $2^5 = 2^2 \times 2^2 \times 2 = 4 \times 4 \times 2 \bmod 10 = 2$
- $2^2 = 2^1 \times 2^1 = 2 \times 2 \bmod 10 = 4$

Exponentiation : D&C

```
ExpoDC( a, n, k )
{
  if n = 1 then return a mod k
  x = ExpoDC( a, n/2, k )
  if n is even then
    return( x*x ) mod k
  else
    return( a*x*x ) mod k
}
```

$$T(n) = T(n/2) + \Theta(1)$$

$$= \Theta(\log n)$$

$$a^n = \begin{cases} a & \text{if } n=1 \\ (a^{n/2})^2 & \text{if } n \text{ is even} \\ a \cdot (a^{n/2})^2 & \text{otherwise} \end{cases}$$

$$\Theta(m), m \text{ is \#bits representing the value } n$$

Fibonacci Number Revisited

$$F_n = F_{n-1} + F_{n-2} \quad n > 1, F_0 = 0, F_1 = 1$$

0 1 1 2 3 5 8 13 ...

$$\begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^1 = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^4 = \begin{bmatrix} 2 & 3 \\ 3 & 5 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^2 = \begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^5 = \begin{bmatrix} 3 & 5 \\ 5 & 8 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^3 = \begin{bmatrix} 1 & 2 \\ 2 & 3 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^6 = \begin{bmatrix} 5 & 8 \\ 8 & 13 \end{bmatrix}$$

Fibonacci Number Revisited

$$\begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^n = \begin{bmatrix} F_{n-1} & F_n \\ F_n & F_{n+1} \end{bmatrix}$$

$$\Theta(\log n)$$

Exponentiation : Quiz

- $a^{15} = a (a(a a^2)^2)^2$ requires six multiplications.
- Can you compute a^{15} using only five multiplications ?

Matrix Multiplication

- Input : two $n \times n$ matrices, A and B
- Output : matrix multiplication of A and B

Simple Matrix Multiplication

```
Matrix-Mult( A, B, C, n )
{
  for ( i = 1 to n ) {
    for ( j = 1 to n ) {
      C[i][j] = 0
      for ( k = 1 to n ) {
        C[i][j] += A[i][k] * B[k][j]
      }
    }
  }
}
```

$$c_{i,j} = \sum_{k=1}^n a_{i,k} \cdot b_{k,j}$$

The number of scalar multiplications = n^3

$$\Theta(n^3)$$

Winograd's Algorithm

$$X_i = \sum_{k=1}^{n/2} a_{i,2k-1} \cdot a_{i,2k}$$

$$Y_j = \sum_{k=1}^{n/2} b_{2k-1,j} \cdot b_{2k,j}$$

$$c_{i,j} = \sum_{k=1}^{n/2} (a_{i,2k-1} + b_{2k,j}) \cdot (a_{i,2k} + b_{2k-1,j}) - X_i - Y_j$$

Number of scalar multiplications = $0.5n^3 + n^2$

$$\Theta(n^3)$$

Divide-and-Conquer

$$A = \begin{bmatrix} a_{11} & \cdots & a_{1\frac{n}{2}} & \cdots & a_{1n} \\ \vdots & \boxed{A_{11}} & \vdots & \boxed{A_{12}} & \vdots \\ a_{\frac{n}{2}1} & \cdots & a_{\frac{n}{2}\frac{n}{2}} & \cdots & a_{\frac{n}{2}n} \\ \vdots & \boxed{A_{21}} & \vdots & \boxed{A_{22}} & \vdots \\ a_{n1} & \cdots & a_{n\frac{n}{2}} & \cdots & a_{nn} \end{bmatrix}$$

Divide-and-Conquer

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C_{11} = A_{11}B_{11} + A_{12}B_{21}$$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$

$$T(n) = 8T(n/2) + \Theta(n^2)$$

$$\log_2 8 = 3, \quad n^2 = O(n^{3-\epsilon})$$

$$T(n) = \Theta(n^3)$$

Strassen's Algorithm

$$M_1 = (A_{12} - A_{22})(B_{21} + B_{22})$$

$$M_2 = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$M_3 = (A_{11} - A_{21})(B_{11} + B_{12})$$

$$M_4 = (A_{11} + A_{12})B_{22}$$

$$M_5 = A_{11}(B_{12} - B_{22})$$

$$M_6 = A_{22}(B_{21} - B_{11})$$

$$M_7 = (A_{21} + A_{22})B_{11}$$

$$T(n) = 7T(n/2) + \Theta(n^2) \\ = O(n^{2.81})$$

$$C_{11} = M_1 + M_2 - M_4 + M_6$$

$$C_{12} = M_4 + M_5$$

$$C_{21} = M_8 + M_7$$

$$C_{22} = M_2 - M_3 + M_5 - M_7$$

Decimal War

- 1969 : theoretical milestone : $O(n^{2.81})$
- 1978 : $O(n^{2.796})$
- 1979 : $O(n^{2.521813})$
- 1980 : $O(n^{2.521801})$
- 1986 : $O(n^{2.376})$
- None of these algorithms is of much practical use.

Closest Points

- Input : n points on a 2D plane.
- Output : the distance of the closest pair of points

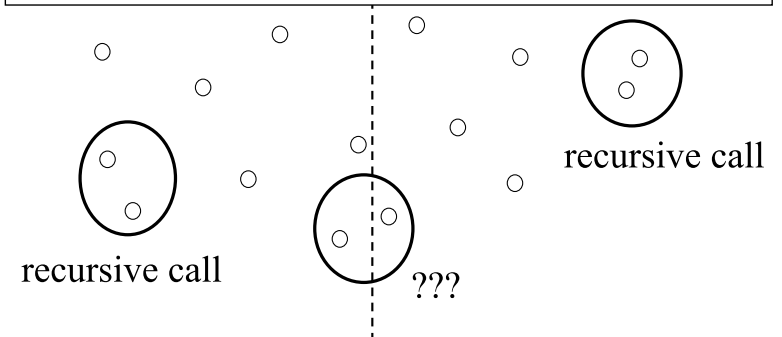
$$d_{i,j} = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$

Closest Points : Brute Force

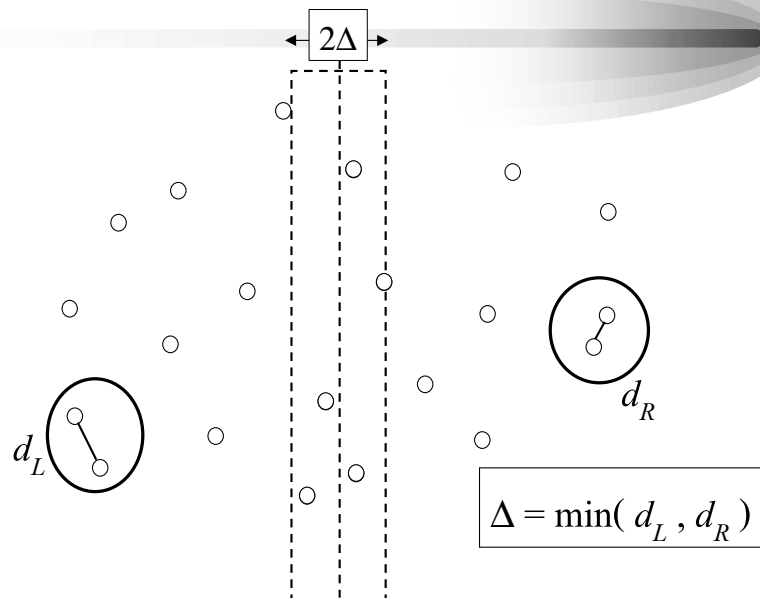
- Try all possible pairs of points
- There are $n(n-1)/2$ pairs
- $\Theta(n^2)$

Closest Points : Divide and Conquer

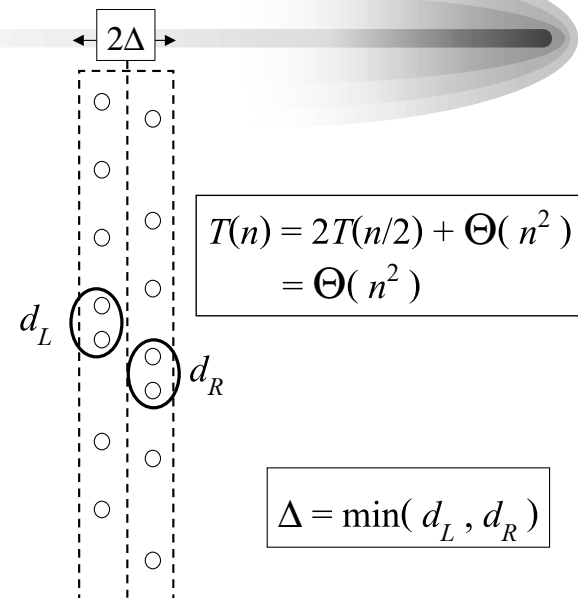
$$\begin{aligned} T(n) &= 2T(n/2) + \Theta(?) \\ T(n) &= 2T(n/2) + \Theta(n^2) &&= \Theta(n^2) \\ T(n) &= 2T(n/2) + \Theta(n \log n) &&= \Theta(n \log^2 n) \\ T(n) &= 2T(n/2) + \Theta(n) &&= \Theta(n \log n) \end{aligned}$$



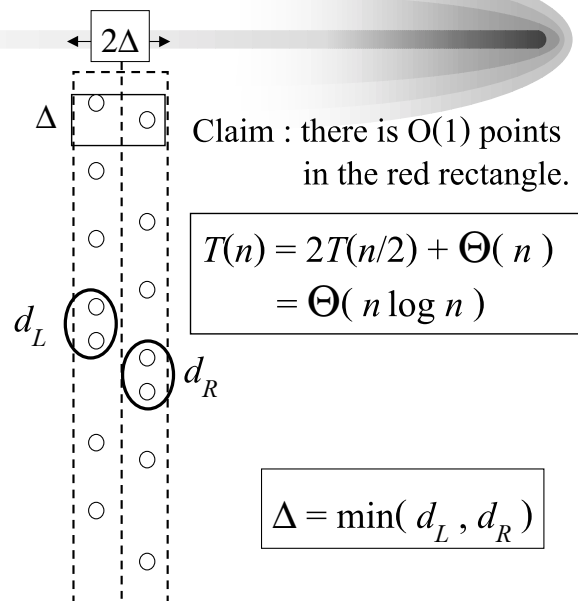
Closest Points : Divide and Conquer



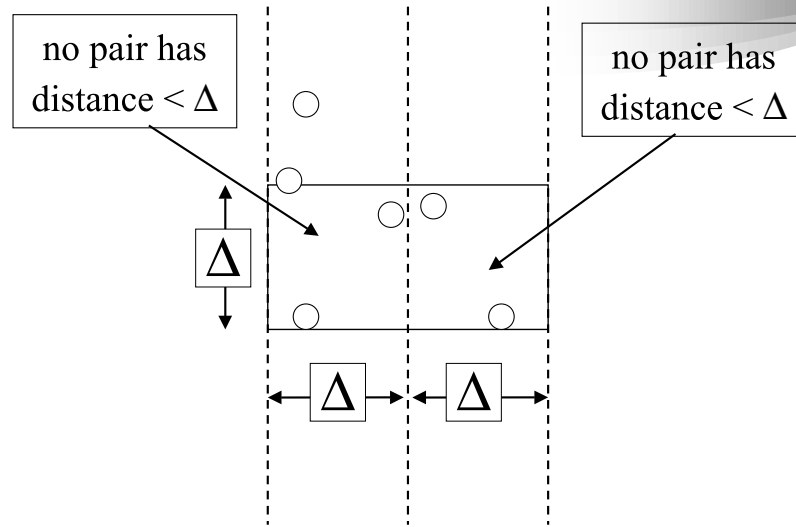
Closest Points : Divide and Conquer



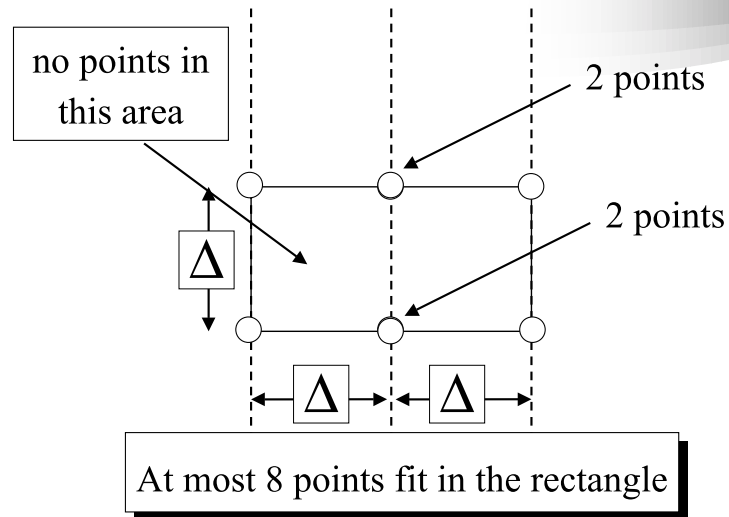
Closest Points : Divide and Conquer



Points in the Peep Hole



Points in the Peep Hole



Closest Points : Quiz

- How do we divide the set of points into 2 groups ?
- Which points are in the 2Δ strip ?
- How do we step down the peep hole ?

Hint: pre-processing : sorting

Convex Hull

DC-Convex-Hull

Animation

Conclusion

- Divide-Conquer-Combine
- Determine which part dominates the work from the recurrence $a T(n/b) + f(n)$
- Usually divide instance size in halve
- Avoid overlapped subinstances